Lecture Overview

Today we will continue improving the algorithm for solving the document distance problem.

- Asymptotic Notation: Define notation precisely as we will use it to compare the complexity and efficiency of the various algorithms for approaching a given problem (here Document Distance).
- Document Distance Summary - place everything we did last time in perspective.
- Translate to speed up the ‘Get Words from String’ routine.
- Merge Sort instead of Insertion Sort routine
  - Divide and Conquer
  - Analysis of Recurrences
- Get rid of sorting altogether?

Readings
CLRS Chapter 4

Asymptotic Notation

General Idea

For any problem (or input), parametrize problem (or input) size as $n$.

Now consider many different problems (or inputs) of size $n$. Then,

$$T(n) = \text{worst case running time for input size } n$$

$$= \max_{x: \text{Input of Size } n} \text{ running time on } X$$

How to make this more precise?

- Don’t care about $T(n)$ for small $n$
- Don’t care about constant factors (these may come about differently with different computers, languages, ...)

For example, the time (or the number of steps) it takes to complete a problem of size $n$ might be found to be $T(n) = 4n^2 - 2n + 2 \mu s$. From an asymptotic standpoint, since $n^2$ will dominate over the other terms as $n$ grows large, we only care about the highest order term. We ignore the constant coefficient preceding this highest order term as well because we are interested in rate of growth.
Formal Definitions

1. **Upper Bound:** We say $T(n)$ is $O(g(n))$ if $\exists n_0, \exists c \text{ s.t. } 0 \leq T(n) \leq c.g(n) \forall n \geq n_0$
   
   Substituting 1 for $n_0$, we have $0 \leq 4n^2 - 2n + 2 \leq 26n^2 \forall n \geq 1$
   
   $\therefore 4n^2 - 2n + 2 = O(n^2)$
   
   Some semantics:
   - Read the ‘equal to’ sign as “is” or $\epsilon$ belongs to a set.
   - Read the $O$ as ‘upper bound’

2. **Lower Bound:** We say $T(n)$ is $\Omega(g(n))$ if $\exists n_0, \exists d \text{ s.t. } 0 \leq d.g(n) \leq T(n) \forall n \geq n_0$
   
   Substituting 1 for $n_0$, we have $0 \leq 4n^2 + 22n - 12 \leq n^2 \forall n \geq 1$
   
   $\therefore 4n^2 + 22n - 12 = \Omega(n^2)$
   
   Semantics:
   - Read the ‘equal to’ sign as “is” or $\epsilon$ belongs to a set.
   - Read the $\Omega$ as ‘lower bound’

3. **Order:** We say $T(n)$ is $\Theta(g(n))$ iff $T(n) = O(g(n))$ and $T(n) = \Omega(g(n))$
   
   Semantics: Read the $\Theta$ as ‘high order term is $g(n)$’

Document Distance so far: Review

To compute the ‘distance’ between 2 documents, perform the following operations:

**For each of the 2 files:**

Read file
Make word list + op on list $\Theta(n^2)$
Count frequencies double loop $\Theta(n^2)$
Sort in order insertion sort, double loop $\Theta(n^2)$

**Once vectors $D_1, D_2$ are obtained:**

Compute the angle $\arccos\left(\frac{D_1 \cdot D_2}{\|D_1\| \cdot \|D_2\|}\right)$ $\Theta(n)$
The following table summarizes the efficiency of our various optimizations for the Bobsey vs. Lewis comparison problem:

<table>
<thead>
<tr>
<th>Version</th>
<th>Optimizations</th>
<th>Time</th>
<th>Asymptotic</th>
</tr>
</thead>
<tbody>
<tr>
<td>V1</td>
<td>initial</td>
<td>?</td>
<td>?</td>
</tr>
<tr>
<td>V2</td>
<td>add profiling</td>
<td>195 s</td>
<td>Θ(n²) → Θ(n)</td>
</tr>
<tr>
<td>V3</td>
<td>wordlist.extend(...)</td>
<td>84 s</td>
<td>Θ(n²) → Θ(n)</td>
</tr>
<tr>
<td>V4</td>
<td>dictionaries in count-frequency</td>
<td>41 s</td>
<td>Θ(n²) → Θ(n)</td>
</tr>
<tr>
<td>V5</td>
<td>process words rather than chars in get words from string</td>
<td>13 s</td>
<td>Θ(n) → Θ(n)</td>
</tr>
<tr>
<td>V6</td>
<td>merge sort rather than insertion sort</td>
<td>6 s</td>
<td>Θ(n²) → Θ(n lg(n))</td>
</tr>
<tr>
<td>V6B</td>
<td>eliminate sorting altogether</td>
<td>1 s</td>
<td>a Θ(n) algorithm</td>
</tr>
</tbody>
</table>

The details for the version 5 (V5) optimization will not be covered in detail in this lecture. The code, results and implementation details can be accessed at this link. The only big obstacle that remains is to replace Insertion Sort with something faster because it takes time Θ(n²) in the worst case. This will be accomplished with the Merge Sort improvement which is discussed below.

**Merge Sort**

Merge Sort uses a divide/conquer/combine paradigm to scale down the complexity and scale up the efficiency of the Insertion Sort routine.

![Figure 1: Divide/Conquer/Combine Paradigm](image-url)
Figure 2: “Two Finger” Algorithm for Merge

The above operations give us $T(n) = C_1 + \frac{2}{2}T(n/2) + C \cdot n$

Keeping only the higher order terms,

$$T(n) = 2T(n/2) + C \cdot n$$

$$= C \cdot n + 2 \times (C \cdot n/2 + 2(C \cdot n/4 + \ldots))$$

Detailed notes on implementation of Merge Sort and results obtained with this improvement are available [here](#). With Merge Sort, the running time scales “nearly linearly” with the size of the input(s) as $n \log(n)$ is “nearly linear” in $n$.

**An Experiment**

- **Insertion Sort** $\Theta(n^2)$
- **Merge Sort** $\Theta(n \log(n))$ if $n = 2^i$
- **Built in Sort** $\Theta(n \log(n))$

  - Test Merge Routine: Merge Sort (in Python) takes $\approx 2.2n \log(n)$ $\mu$s
  - Test Insert Routine: Insertion Sort (in Python) takes $\approx 0.2n^2$ $\mu$s
  - Built in Sort or sorted (in C) takes $\approx 0.1n \log(n)$ $\mu$s

The 20X constant factor difference comes about because Built in Sort is written in C while Merge Sort is written in Python.
Figure 3: Efficiency of Running Time for Problem of size n is of order $\Theta(n \lg(n))$

**Question:** When is Merge Sort (in Python) $2n \lg(n)$ better than Insertion Sort (in C) $0.01n^2$?

**Aside:** Note the 20X constant factor difference between Insertion Sort written in Python and that written in C.

**Answer:** Merge Sort wins for $n \geq 2^{12} = 4096$

**Take Home Point:** A better algorithm is much more valuable than hardware or compiler even for modest $n$

See recitation for more Python Cost Model experiments of this sort . . .